

P21017.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21017	Total Pages	
Inventor(s) or Application Identifier Masaaki NAKASHIMA			
Title: IMAGING ELEMENT FOR ELECTRONIC ENDOSCOPES AND ELECTRONIC ENDOSCOPE EQUIPPED WITH THE IMAGING ELEMENT			

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <ul style="list-style-type: none"> a. <input type="checkbox"/> Small Entity Statement(s) 		10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>39</u>] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>10</u>]		12. <input type="checkbox"/> English Translation Document (if applicable)	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		14. <input type="checkbox"/> Preliminary Amendment	
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u> 17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) 	
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i>		18. <input checked="" type="checkbox"/> Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA of Tokyo, JAPAN	
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.		19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> <hr/> <hr/> <hr/>	

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
 1941 Roland Clarke Place
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8/20/01

Date



07055

PATENT TRADEMARK OFFICE

Leah J. Paperman Aug 16-33,329

Signature

Bruce H. Bernstein, Reg No. 29,027
Typed or Printed Name

P21017.P02

Complete if Known

FEE TRANSMITTAL**TOTAL AMOUNT OF PAYMENT** (\$750.00)

Application Number Not Yet Assigned

Filing Date Concurrently Herewith

First Named Inventor M. NAKASHIMA

Group Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number P21017

METHOD OF PAYMENT (check one)**FEE CALCULATION** (continued)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN,
P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:
 Check Credit Card Money Order Other
FEE CALCULATION (fees effective 12/29/99)**1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
141	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710**2. CLAIMS**

Total Claims	-20=	Extra	Fee from below	=	Fee Paid
17	-20=	0	x 18	=	0
Independent 2	-3=	0	x 80	=	0

Claims
Multiple Dependent Claims x = 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR Prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within 1st month	
116	390	216	195	Extension for response within 2nd month	
117	890	217	445	Extension for response within 3rd month	
118	1,390	218	695	Extension for response within 4th month	
128	1,890	228	945	Extension for response within 5th month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,240	241	620	Petition to revive unintentionally abandoned application	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) (\$) 40

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature

Date

8/20/01

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Masaaki NAKASHIMA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : IMAGING ELEMENT FOR ELECTRONIC ENDOSCOPES AND
ELECTRONIC ENDOSCOPE EQUIPPED WITH THE IMAGING ELEMENT

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

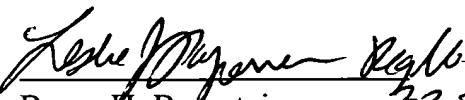
The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on August 20, 2001, and pages 1 - 39 of the specification (with Figures 1 - 10) which were earlier forwarded to the undersigned by Applicant's Japanese representative on August 17, 2001.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed, Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

P21017.A01

Should there be any questions regarding this paper, please contact the undersigned at
the below listed number.

Respectfully submitted,
Masaaki NAKASHIMA


Bruce H. Bernstein Reg. No. 33,329
Reg. No. 29,027

August 20, 2001
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